ØNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

Group Art Unit:

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Mail Stop Appeal Brief - Patents **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the Final Official Action mailed September 29, 2004, finally rejecting claims 29, 30, 55-57 and 59-62.

	The fee for this Notice of Appeal is (37 CFR 1.17(b))	\$ <u>500.00</u>
	The fee for the request for an oral hearing	\$ <u>1000.00</u>
	Applicant claims small entity status. See 37 CFR 1.27. Therefore	e,
	the fee shown above is reduced by half, and the resulting fee is:	\$ <u>750.00</u> .
	A check in the amount of the fee is enclosed.	•
	The Commissioner has already been authorized to charge fees	s in this application
01/04/2005 (to a Deposit Account. I have enclosed a duplicate copy of this sh	neet.
01 FC:2401 02 FC:2403	250.00 DA 500.00 DA	
,	CERTIFICATE OF MAILING 37 CFR §1.8	•

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Appeal Brief -Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-(450.

Dated:

Signature of Person Mailing Document

DOCSOC1:158345.1

MAR 0 4 2003

FEE TRAI

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete If Known						
Application Number	09/427,260					
Filing Date	October 25, 1999	RECENT				
First Named Inventor	KHOSRAVI, Farhad, et al.	HART				
Examiner Name	J. Thissell	~ # # @\ :				
Group / Art Unit	3738	NOLOGY CENTE				
	702563 4004	CEATE				

INTER PATOL TOTAL AMOUNT OF PAYMENT 55.00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check one) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge indicated Small Large 8 fees and credit any over payments to: 1. Entity Entity Fee Fee Fee Fee Description Foo (\$) Pald Code **(\$)** Code Deposit 65 Surcharge - late filing fee or oath 15-0665 105 130 205 Account Surcharge - tate provisional filing fee or 227 25 Number 127 50 cover sheet. 130 Non-English specification 139 139 130 Deposit Ortick, Hentington & Sutdiffe LLP For filing a request for reexamination Account 2,520 147 2,520 147 Name Requesting publication of SIR prior to 850. 112 112 830. Charge Any Additional Fee Required Examiner action Under 37 CFR 1.16 and 1.17 Requesting publication of SIR after Applicant daims small entity status. 1,840* 113 1,840* 113 Examiner action See 37 CFR 1.27 55.00 Extension for reply within first month Payment Enclosed: 55 115 110 215 216 195 Extension for reply within second month? 118 390 Other Money ☐ Credit card ☐ Check Extension for reply within third month 117 217 445 690 Order Extension for reply within fourth month 695 218 118 1,390 **FEE CALCULATION** Extension for reply within fifth month 228 945 128 1,890 BASIC FILING FEE 1. 219 155 Notice of Appeal 119 310 Entity Filing a brief in support of an appeal Entity Smali 155 عورعا 120 310 220 Fee(Fee Description Fee Fee(Fee 135 Request for oral hearing 221 121 270 Fee Pald Code \$} Code \$} Petition to institute a public use 1,510 1,510 375 138 138 Utility filing fee 750 201 101 proceeding Design filling fee 208 165 339 108 55 Petition to revive - unavoidable 240 8 140 110 255 Plant filing fee 207 107 510 Petition to revive - unintentional 620 141 241 1,240 Reissue filing fee 370 208 108 740 620 Utility issue fee (or reissue) 242 142 1,240 Provisional filling fee 160 214 80 114 Design issue fee 143 440 243 220 Plant issue fee 300 144 600 244 (\$)0 SUBTOTAL (1) Petitions to the Commissioner 122 130 122 130 Petitions related to provisional 2. EXTRA CLAIM FEES 1/2013 130 ft,2251 123 23 130 applications Fee Fee from Extra Peid Submission of Information Disdosure Claims below 180 126 125 180 0 9 Stmt 18 20 otal Claims 3/1 Recording each patent assignment per 40 Independent 581 40 581 property (times number of properties) 0 X 42 2 Cains Fiting a submission after final rejection 248 355 148 710 (37 CFR § 1.129(a)) Multiple Denend X = 0 For each additional invention to be 710 examined (37 CFR § 1.129(b)) **Entity** Şm20 Entity Large Request for Continued Examination (RCE) 279 355 710 Fee Fee Fee Fee Fee Description **(\$**) Code **(5)** Code Request for expedited examination 900 169 900 169 9 Claims in excess of 20 203 103 18 of a design application Independent claims in excess of 3 42 102 84 202 Multiple dependent daim, if not paid 140 280 204 104 ** Reissue independent daims over Other fee (specify) 209 42 109 original patent Reissue daims in excess of 20 and over 210 9 110 18 SUBTOTAL (3) original patent Reduced by Basic Filing Fee Paid (\$) 55.00 SUBTOTAL (2) (\$)0

SUBMITTED BY

Name (Print/Type) Charles C. Fowler Registration No. Attorney/Agent) 39,675 Telephone 949-567-6700

Signature Date MArch 4, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

09427260

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

03/21/2008 SDIRETA1 00000008 150665

01 FC:2251

55.00 DA

Tor number previously paid, if greater, For Reissues, see above

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09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

01 FC:2801 02 FC:2253

385.00 DA 475.00 DA

Group Art Unit:

STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Commissioner for Patents

Mail Stop RCE P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

l.	Subm	ission I	required under 37 CFR § 1.114
	A.		. Previously submitted
RECE	VED	1.	Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on
NOV 2 5		2.	Consider the arguments in the Appeal Brief or Reply Brief previously filed on
CHNOLOGY C	ENTER R3700	3.	Other
	B.	\boxtimes	Enclosed
	•	1.	Maria Amendment/Reply
	•	2.	Affidavit(s)/Declarations(s)
		3.	☐ Information Disclosure Statement (IDS)
		4.	Other
11/24x2g03 AWDI	DAF1/00000027	150665	09427260
01 FC:2801 02 FC:2253 —	385,00 ba 475.00 ba		CERTIFICATE OF MAILING
to Se Co	as being attach rvice with suffice mmissioner for l	ed or end cient posta Patents, P er 17, 200	37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred losed) would be mailed or transmitted on or before the date indicated with the United States Postal age as first class mail on the date shown below in an envelope addressed to Mail Stop 313(c), .O. Box 1450, Alexandria, VA 22313-1450. Valerie Cloyd
03/21/2008 SI	IRETA1 00000	006 1506	65 09427260 · · · · · · · · · · · · · · · · · · ·

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THE LUNE ED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

Group Art Unit:

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Transmitted herewith is an amendment in the above-identified application in connection with the Office Action dated January 30, 2004.

Applicant hereby petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)		
one month	\$55.00	\$110.00
two months	\$210.00	\$420.00
three months	\$475.00	\$950.00
four months	\$740.00	\$1,480.00
five months	\$1,005.00	\$2,010.00
IIVO IIIOIIIIIS	Ψ1,000.00	Fee \$0.00

If an additional extension of time is required, please consider this a petition therefor.

CERTIFICATE OF MAILING 37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Dated:

115104

Valerie Cloyd

DOCSOC1:151772.1

01 FC:225

06/23/2004 GWORDOF1 00000111 150665 094272

210.00 DA

Appl. No.

09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

STRETCHABEL ANTI-BUCKLING COILED-SHEET STENT

Group Art Unit:

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Commissioner for Patents

Mail Stop RCE P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

1.	Subm	nission	require	ed under 37 CFR § 1.114		
	A.		Previ	iously submitted		
		1.	☐ previ	Consider the amendment(s)/reply under 37 CFR § 1.116 ously filed on	3	
		2.	☐ previ	Consider the arguments in the Appeal Brief or Reply Brief ously filed on	f	•
		3.		Other		
	В.	\boxtimes	Enclo	osed	9	
		1.	\boxtimes	Amendment/Reply	09427250	
		2.	\boxtimes	Affidavit(s)/Declarations(s)	\$	
		3.		Information Disclosure Statement (IDS)	150665	
		4.		Other	00000034 1	395.00 PA
				CERTIFICATE OF MAILING 37 CFR §1.8	ZJUMRI	ta
to as bei Service v Commissi Dated:	ing attacho with suffic	ed or enclosed ient postal Patents, F	osed) wou ge as first	1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred uld be mailed or transmitted on or before the date indicated with the United States Postal class mail on the date shown below in an envelope addressed to: Mail Stop RCE, 1450, Alexandria, VA 22313-1450. Valerie Cloyd	07/05/2005	01 FC:2801

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09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

01 FC:2801

395.00 DA

Group Art Unit:

STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Commissioner for Patents Mail Stop RCE P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

l.	Subn	nission	required under 37 CFR § 1.114
	A.		Previously submitted
		1.	Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on
		2.	Consider the arguments in the Appeal Brief or Reply Brief previously filed on
		3.	Other
	В.	\boxtimes	Enclosed
		1.	Amendment/Reply
		2.	☐ Affidavit(s)/Declarations(s)
		3.	☐ Information Disclosure Statement (IDS) ☐ Other
		4.	Other \$ 5
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I hereby attached	y certify, p d or enclose	ursuant to ed) would l	be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage of the mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage of the Mail Stone mendment Commissioner for Patelts, P.O. Box 1450.
as first Alexand	class mail dria, VA 22	on the date 2313-1450	e shown below in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450
Dated:		ber 21, 200	
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	A.		Suspen	sion of	act	ion on	the at	OV	e-ide	entifi	ed app	lication	ı is
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		requested under 37 CFR § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; fee under 37 CFR § 1.17(i)											
		require	ed.)										
	В.		Other_					•					
Ш.	Fees												
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	five months				\$1,080.00					\$2,160.00			

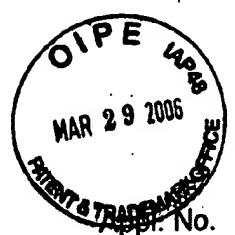
\$510.00

\$905.00

five months

TOTAL OF EXTENSION FEES

TOTAL OF ABOVE CALCULATIONS



09/427,260

Confirmation No.: 2937

Applicant

Khosravi, et al.

Filing Date

October 25, 1999

Title

STRETCHABLE ANTI-BUCKLING COILED-SHEET STENT

Group Art Unit:

3738

Examiner

B. Pellegrino

Docket No.

702563.4004

Customer No. :

34313

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT AND REQUEST FOR RECONSIDERATION

Dear Sir/Madam:

In response to the Office Action mailed September 26, 2005, please consider the following amendments and Remarks:

)3/30/2006 HMARZII 00000045 150665 510.00 DA

> CERTIFICATE OF MAILING ... 37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated:

Valerie Cloyd

DOCSOC1:173936.1 702563-4004

09427260 03/21/2008 SDIRETA1 00000002 150665

01 FC:2253

510.00 DA

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PART			di	87

REQUEST

FOR

CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or patent application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/427,260	6
Filing Date	October 25, 1999	1
First Named Inventor	Farhad Khosravi and Himanshu N. Patel	R
Group Art Unit	3738	2
Examiner Name	B. Pelligrino	7
Attomey Docket Number	239/227	

This is a Request for continued Examination (RCE) under	er 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective May 29, 2000. If the wish to consider filing a continued prosecution application (CPA eligible for the patented term adjustment provisions of the AIPA Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20 established RCE practice.	e above-identified was filed prior to May 29, 2000, applicant may A) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be A. See Changes to Application Examination and Provisional 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which
1. Submission required under 37 C.F.R. § 1.114	
 a. Previously submitted i. Consider the amendment(s)/reply under 37 C.F Any unentered amendment(s) referred to above will be entered ii. Consider the arguments in the Appeal Brief or 	d.
	06/13/2002 AWONDAF1 00000174 09427260
b. Enclosed	
	02 FC:217 460.00 TP >>
iii. 🗓: Information Disclosure Statement (IDS)	
iv. Other	g 7)
2. Miscellaneous.	3
a. Suspension of action on the above-identified application months. (Period of suspension shall not exce	eed 3 months; Fee under 37 C.F.R. § 1.103(c) for a period of
b. Other	
3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by	
a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 12-2475 i. RCE fee required under 37 C.F.R. § 1.17(e) in the amount of \$370.00. ii. Extension of time fee (37 C.F.R. §§ 1.130 and 1.17); if any extension of time is required, please consider this a request therefor. A 3 month extension of time in the amount of \$460.00 is enclosed.	
iii. Dther: Any fee under §1.15 or	
b. Check in the amount of \$830.00 enclosed c. Payment by credit card (Form PTO-2038 enclosed))
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print/Type) William A. English	Registration No. (Attorney/Agent) 42,515
Signature Signature	Date June 3, 2002
CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:	
Name (Print/Type) Sally Hartwell	
Signature \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Date

03/21/2008 SDIRETA1 00000001 09427260

01 FC:2801 02 FC:2253 370.00 OP 460.00 OP

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In recopplication of: Application No.:

Khosravi, Patel 09/427260

October 25, 1999

For:

Stretchable Anti-Buckling Coiled-Sheet Stent

Examiner:

Brian Pellegrino

Group Art Unit:

3738

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 Docket No.: S63.2H-13525-US01

PATEN'

TRANSMITTAL LETTER

In regard to the above-identified application, in addition to this 1 page transmittal letter, we are submitting the attached:

3 page Notification of Change of Entity Status and Payment for Deficiencies in Fees; check in the amount of \$5,155.00; 2 page Assignee's Statement of Ownership 37 CFR 3.73(B); 5 page Recorded Assignment dated February 14, 2000; 3 page Certificate of Amendment of Certificate of Incorporation and Postcard.

Adjustment date: 03/20/2008 SDIRETA1 <u>8/2007</u> EFLORES 00000007 09427260 -1020.00 OP

With respect to fees: 2.

- No additional fee is required.
- Attached is check(s) in the amount of \$5155.00
- Charge additional fee to our Deposit Account No. 22-0350.

CONDITIONAL PETITION FOR EXTENSION OF TIME **3**.

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required 4. and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Adjustment date: 03/20/2008 SDIRETA1 09728/2007 EFLORES 00000011 220350 09427260 1020.00 CR 01 FC:1253 Adjustment Date: 03/20/2008 09427260

SDIRETA1 09/28/2007 EFLORES 00000010 220350

120.00 CR 790/09 CR

Date:

01 FC:1251

02 FC:1801

Respectfully submitted,

VIDAS, ABRETT & STEINKRAUS

James M. Urzedowski

Adjustment date: 03/20/2008

Registration No.: 48596 Adjustment Date: 03/20/2008

SDIRETA1 09427260 -495.00 OP

09728/2007 EFLORES 00000008 220350 295.00 CR 01 FC:1801

6640 Shady Oak Dr., Suite 400 Eden Prairie, MN 55344-7834 Telephone: (952) 563-3000 Facsimile: (952) 563-3001

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patent, P.O. 425-07 Box 1,450, Alexandria, VA-22313-1450, on __

Rebecca M. Painschab

Adjustment date: 03/20/2008 SDIRETA1 09728/2007 EFLORES 00000009 220350 09427260

1020.00 CR 01 FC:1253 02 FC:1801 790.00 CR

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-1020.00 OP -790.00 OP -1590.00 OP

SDIRETA1

-500.00 OP -450.00 DP -120.00 OP

In recypplication of: Application No.:

Khosravi, Patel

09/427260 October 25, 1999

For:

Stretchable Anti-Buckling Coiled-Sheet Stent

Examiner:

Brian Pellegrino

Group Art Unit:

Commissioner for Patents

3738

Docket No.: S63.2H-13525-US01

PATENT

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

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3 page Notification of Change of Entity Status and Payment for Deficiencies in Fees; check in the amount of \$5,155.00; 2 page Assignee's Statement of Ownership 37 CFR 3.73(B); 5 page Recorded Assignment dated February 14, 2000; 3 page Certificate of Amendment of Certificate of Incorporation and Postcard.

2. With respect to fees:

- No additional fee is required.
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- Charge additional fee to our Deposit Account No. 22-0350.

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Adjustment Date: 03/20/2008 28/2007 EFLORES 00000011 220350 09427260 1020.00 CR 01 FC:1253 Adjustment date: 03/20/2008 SDIRETA1 09427260 09728/2007 EFLORES 00000010 220350 120.00 CR 01 FC:1251. 02 FC:1801 790_Q0_CR

Date:

Respectfully submitted,

VIDAS, ABRETT & SPENKRAUS

James M. Urzedowski Registration No.: 48596

6640 Shady Oak Dr., Suite 400 Eden Prairie, MN 55344-7834 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

Adjustment date: 03/20/2008 SDIRETA 09/28/2007 EFLORES 00000008 220350 SDIRETA1 09427260 01 FC:1801 295.00 CR -495.00 DP

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patent, P.O. 42507 Box 1450, Alexandria, VA-22313-1450, on __

Rebecca M. Painschab

Adjustment Date: 03/20/2008 SDIRETA1

09728/2007 EFLORES 00000009 220350 09427260

01 FC:1253 02 FC:1801

1020.00 CR 790.00 CR